

# Query-to-Communication Lifting for P<sup>NP</sup>

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joint work with



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Göös



Toni  
Pitassi



Tom  
Watson

Riga,  
Latvia

CCC  
July 7, 2017

## Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$

0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

## Communication Complexity

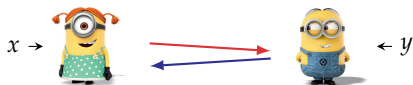
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0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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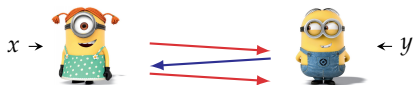
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0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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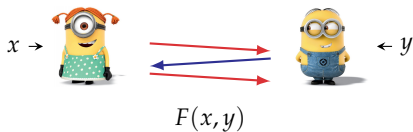
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0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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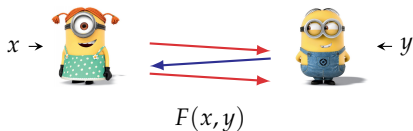


0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

(deterministic)  
 $P^{cc}(F)$

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



$P^{cc}(F) = k \implies$  partition into  
 $\leq 2^k$  monochromatic rectangles.

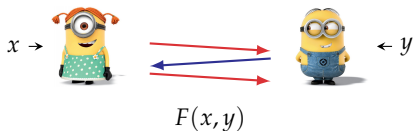
0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0



# Communication Complexity

(deterministic)  
PCC

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$

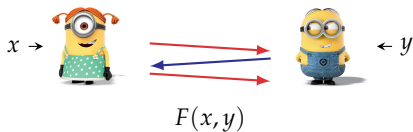


0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$

randomness



(deterministic)  
 $P^{CC}$

$BPP^{CC}$   
(randomized)

0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$

(deterministic)  
 $P^{CC}$

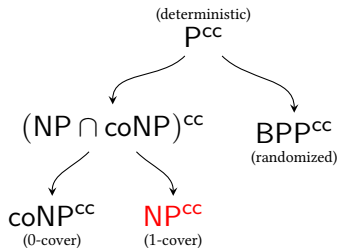
$BPP^{CC}$   
(randomized)



0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



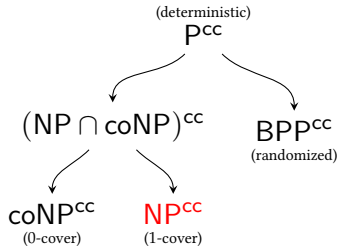
0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



witness



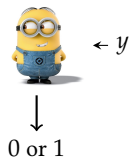
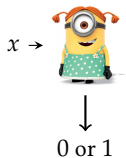
0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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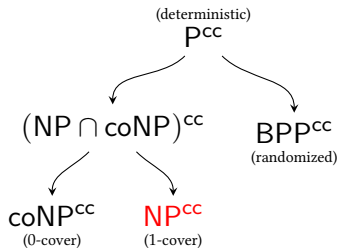
$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



witness



1 if  $\begin{cases} \text{Alice says 1} \\ \text{Bob says 1} \end{cases}$



0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

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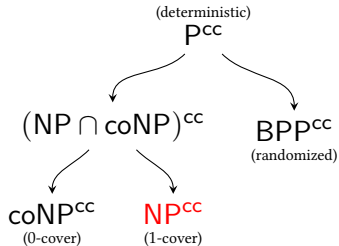
$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



witness



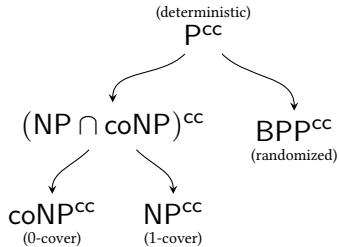
$$\text{NP}^{\text{cc}}(F) = k \Leftrightarrow F^{-1}(1) = \bigcup_{i \in [2^k]} R_i$$



0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0

# Communication Complexity

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$

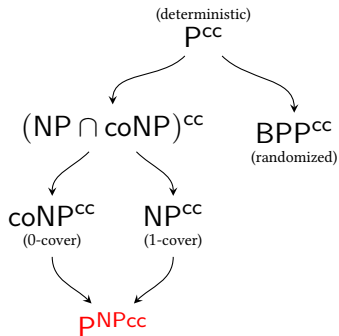
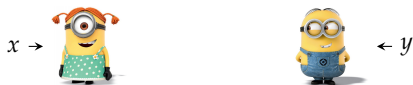


0	0	0	1	1	1	1
0	0	0	1	1	1	1
0	0	0	1	1	1	1
1	1	1	1	1	1	1
1	1	1	1	0	0	0
1	1	1	1	0	0	0
1	1	1	1	0	0	0



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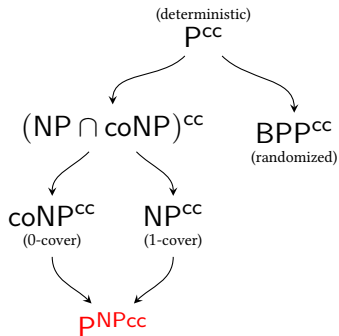
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1	1	1	1	0	0	0

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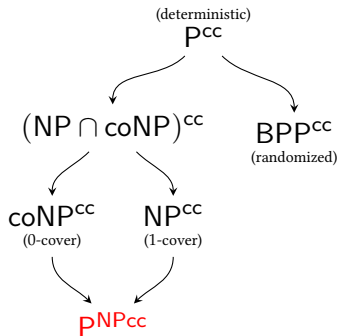
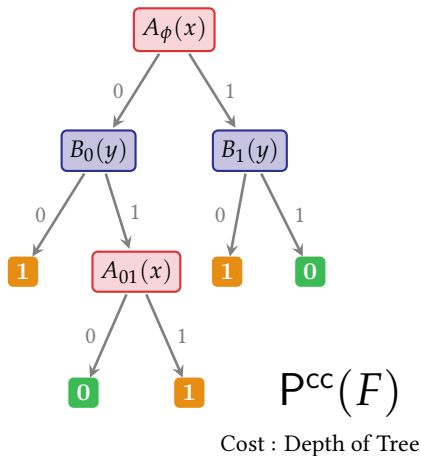
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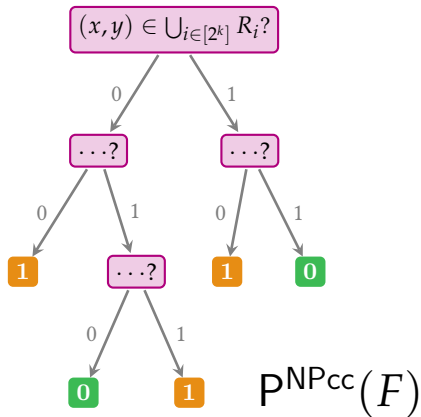
$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



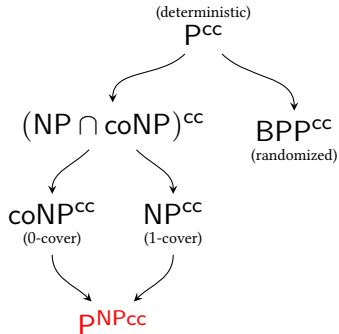
0	0	0	1	1	1	1
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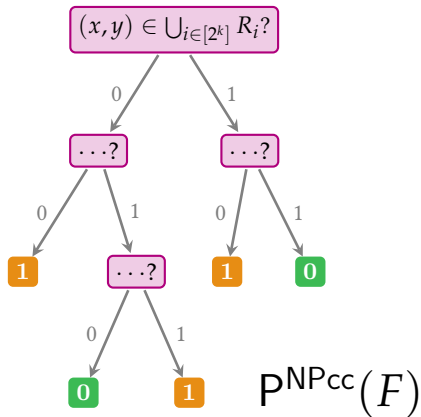
Cost : Total Oracle Cost



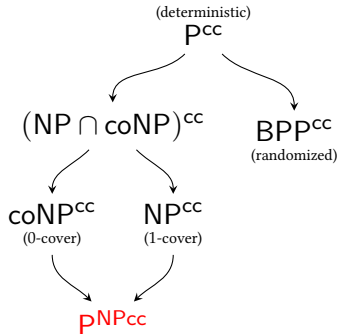
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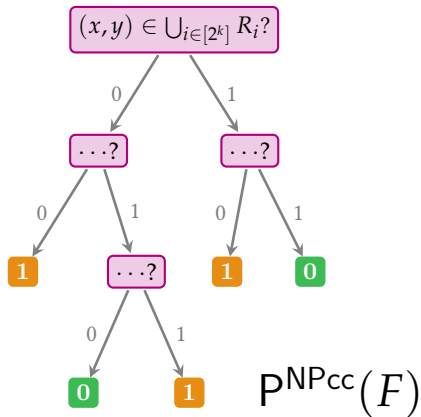
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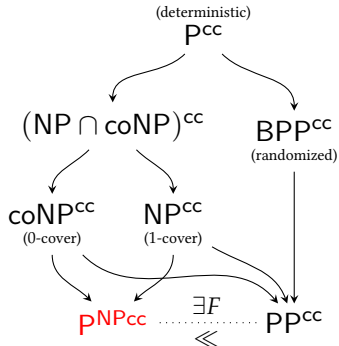
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# Monochromatic Rectangles

$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\max_{\text{m.c. } R} \mu(R)}$$

0	1	0	1	1	1	1
1	0	0	0	1	0	1
0	0	1	1	0	1	1
1	1	1	1	1	0	1
1	1	1	1	0	0	0
0	1	1	1	0	1	0
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# Monochromatic Rectangles

$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

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1	0	0	0	1	0	1
0	0	1	1	0	1	1
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# Monochromatic Rectangles

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## Questions about $\text{mon}(F)$

► Log-Rank Conjecture [NW94]

$$\forall F : \text{mon}(F) \leq \log^{O(1)} \text{rk}(F)$$

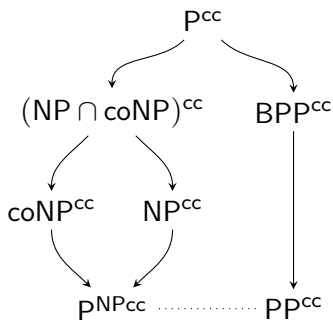
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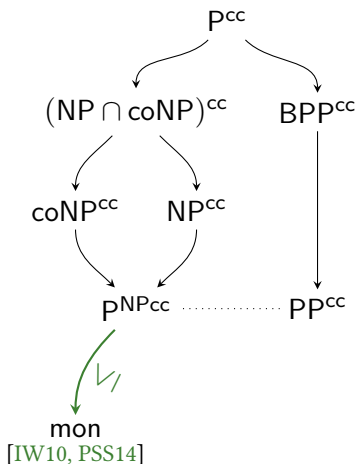
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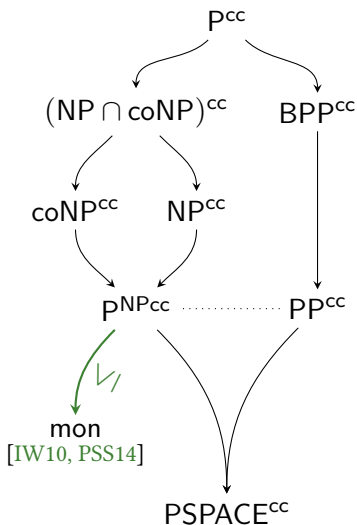
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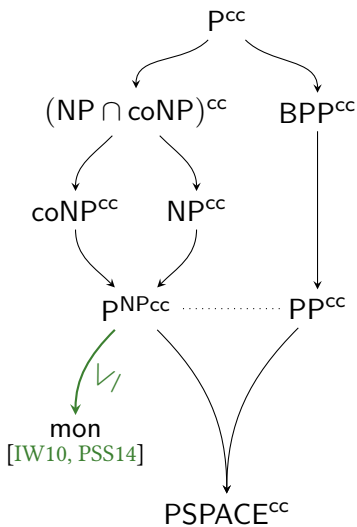


# Monochromatic Rectangles

$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

## Questions about $\text{mon}(F)$

- ▶ Log-Rank Conjecture [NW94]  
 $\forall F : \text{mon}(F) \leq \log^{O(1)} \text{rk}(F)$
- ▶ Protocols from  $\text{mon}$ ?  
 $\forall F : \text{PSPACE}^{\text{cc}}(F) \leq \text{mon}(F)^{O(1)}$



# Monochromatic Rectangles

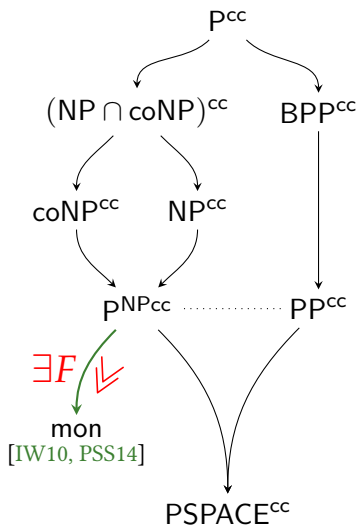
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## Questions about $\text{mon}(F)$

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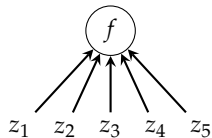
## THIS WORK!

- $\exists F : \text{mon}(F) \leq (\log n)^{O(1)}$   
 $\text{P}^{\text{NP}^{\text{cc}}}(F) \geq n^{\Omega(1)}$
- i.e. refutes:  
 $\forall F : \text{P}^{\text{NP}^{\text{cc}}}(F) \leq \text{mon}(F)^{O(1)}$



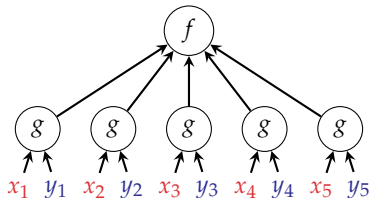
# Composed Functions

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



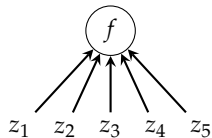
Compose with  $g$

$$f \circ g^n : X^n \times Y^n \rightarrow \{0,1\}$$



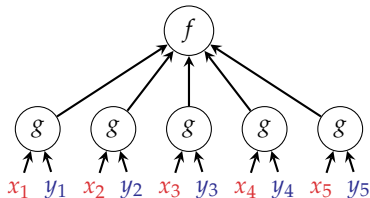
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## GADGET

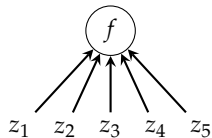
$g : X \times Y \rightarrow \{0,1\}$  is a *small gadget*.

- ▶ Alice gets  $x \in X$
- ▶ Bob gets  $y \in Y$



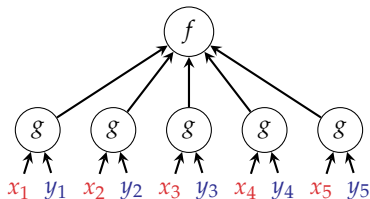
# Composed Functions

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



Compose with  $g$

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## EXAMPLES

- Set Disjointness :  $(\neg\text{OR}) \circ \text{AND}^n$
- Inner Product :  $\text{XOR} \circ \text{AND}^n$
- Equality :  $\text{AND} \circ (\neg\text{XOR})^n$

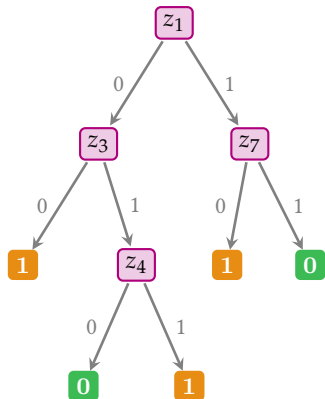
## GADGET

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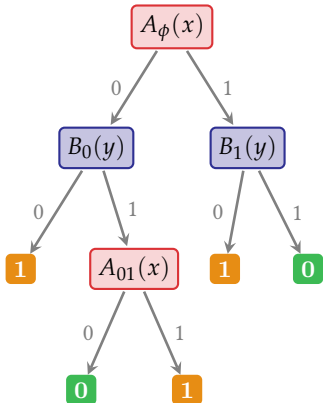
# Query vs. Communication Complexity

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



Depth of Decision Tree

$$F : X^n \times Y^n \rightarrow \{0,1\}$$



P

Depth of Protocol Tree

## Query vs. Communication Complexity

$$f : \{0,1\}^n \rightarrow \{0,1\}$$

$$F : X^n \times Y^n \rightarrow \{0,1\}$$

$$z_1 \bar{z}_3 z_5$$

$$\vee \bar{z}_2 z_4 \bar{z}_7$$

$$\vee z_1 z_2 \bar{z}_5$$

$$\vee \dots$$

$$\vee \dots$$

$$(x, y) \in \bigcup_{i \in [2^k]} R_i ?$$

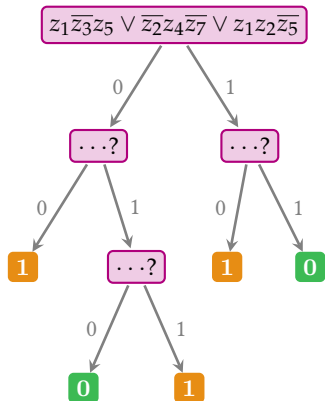
NP

Width of DNF

$\log \# \text{Rectangles}$

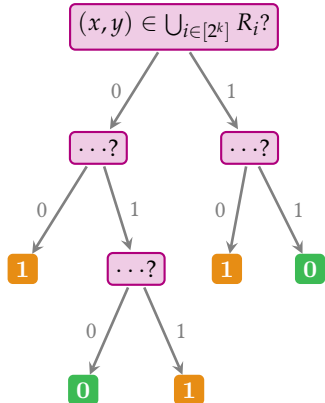
# Query vs. Communication Complexity

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



Sum of DNF widths

$$F : X^n \times Y^n \rightarrow \{0,1\}$$

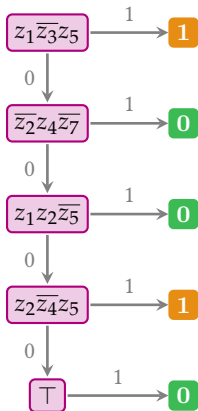


Sum of log #Rectangles

PNP

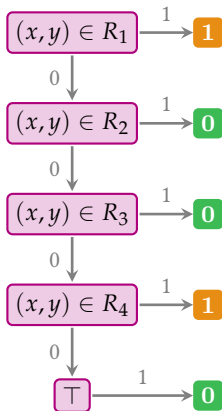
# Query vs. Communication Complexity

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



Width of DNF [Riv '87]

$$F : X^n \times Y^n \rightarrow \{0,1\}$$

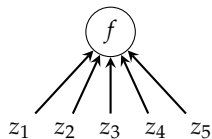


$\log \# \text{Rectangles}$  [PSS '14]

DL  
 (DECISION LISTS)  
 quadratically  
 equivalent  
 to  $P^{NP}$

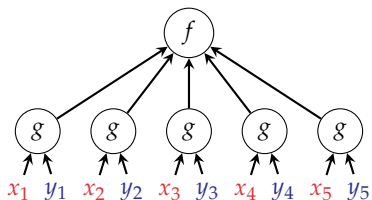
# Lifting Theorem!

$$f : \{0,1\}^n \rightarrow \{0,1\}$$



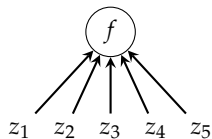
Compose with  $g$

$$f \circ g^n : X^n \times Y^n \rightarrow \{0,1\}$$



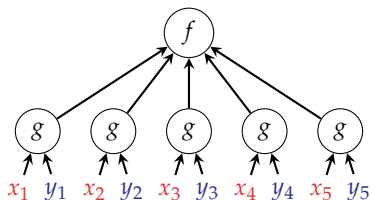
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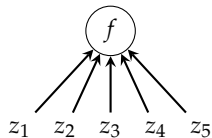
$$f \circ g^n : X^n \times Y^n \rightarrow \{0,1\}$$



$$P^{cc}(f \circ g^n) \leq O(P^{dt}(f) \cdot b)$$

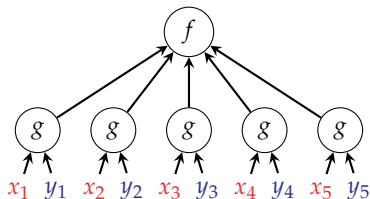
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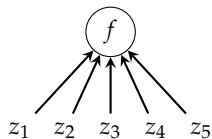


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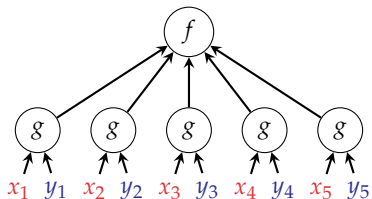
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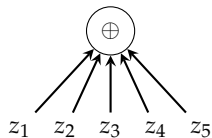


LIFTING THEOREM TEMPLATE

$$M^{\text{cc}}(f \circ g^n) \geq \Omega(M^{\text{dt}}(f) \cdot b)$$

# Lifting Theorem!

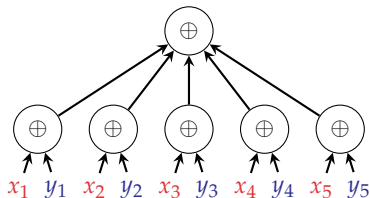
$$f : \{0,1\}^n \rightarrow \{0,1\}$$



Need careful  
choice of  $g$ !

Compose with  $g$

$$f \circ g^n : X^n \times Y^n \rightarrow \{0,1\}$$

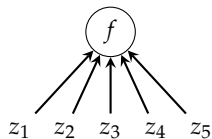


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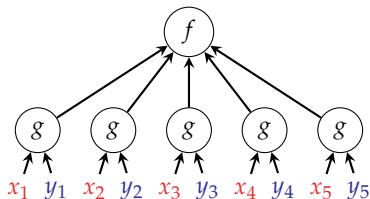
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## LIFTING THEOREM TEMPLATE

Fixed  $g$ , such that for all  $f$ :

$$M^{\text{cc}}(f \circ g^n) \geq \Omega(M^{\text{dt}}(f) \cdot b)$$

# Lifting Theorem!

M	Query model	Communication model	References
P	deterministic	deterministic	[RM99, GPW15, dRNV16 HHL16, WYY17, CKLM17]
NP	nondeterministic	nondeterministic	[GLM <sup>+</sup> 15, Göö15]
many	polynomial degree	rank	[SZ09, She11, RS10, RPRC16]
many	conical junta degree	nonnegative rank	[GLM <sup>+</sup> 15, KMR17]
	Sherali–Adams	LP extension complexity	[CLRS16, KMR17]
	sum-of-squares	SDP extension complexity	[LRS16]

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## INDEXING GADGET

$$g : [m] \times \{0,1\}^m \rightarrow \{0,1\}$$

$$g(x,y) = y_x$$

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## INDEXING GADGET

$$g : [m] \times \{0,1\}^m \rightarrow \{0,1\}$$

$$g(x, y) = y_x$$

$$m = n^4$$

## LIFTING THEOREM FOR DL & P<sup>NP</sup>

$$\blacktriangleright \text{DL}^{\text{cc}}(f \circ g^n) \geq \text{DL}^{\text{dt}}(f) \cdot \Omega(\log n)$$

$$\blacktriangleright \text{P}^{\text{NPcc}}(f \circ g^n) \geq \sqrt{\text{P}^{\text{NPdt}}(f) \cdot \Omega(\log n)}$$

## Outline of Main Application

$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

$$\exists F$$

$$\text{mon}(F) \leq (\log n)^{O(1)}$$

$$\text{P}^{\text{NPcc}}(F) \geq n^{\Omega(1)}$$

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$\Updownarrow$  [PSS'14]

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# Outline of Main Application

$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

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$$\Updownarrow \text{ [PSS'14]}$$

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$$\Updownarrow \text{ [Lifting Theorem]}$$

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$$\exists F = \boxed{f} \circ \text{Ind}_m^n$$

1

$$\boxed{\text{mon}(F) \leq (\log n)^{O(1)}}$$

3

$$\text{P}^{\text{NP}^{\text{cc}}}(F) \geq n^{\Omega(1)}$$

$\Updownarrow$  [PSS'14]

$$\text{DL}^{\text{cc}}(F) \geq n^{\Omega(1)}$$

$\Updownarrow$  [Lifting Theorem]

$$\boxed{\text{DL}^{\text{dt}}(f) \geq n^{\Omega(1)}}$$

2

## Hard Query Function $f$

	1					
			1			
				1		
		1				
					1	
1						
1						

$\forall$  · US-complete  $f$

$$z \in \{0, 1\}^{\sqrt{n} \times \sqrt{n}}$$

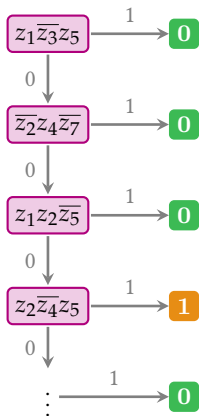
$$f(z) \stackrel{\text{def}}{=} \mathbb{1} \left\{ \begin{array}{l} \text{Every row has} \\ \text{a unique "1"} \end{array} \right\}$$

$$\text{DL}^{\text{dt}}(f) \geq \sqrt{n}$$

$$f(z) \stackrel{\text{def}}{=} \mathbb{1} \left\{ \begin{array}{l} \text{Every row has} \\ \text{a unique "1"} \end{array} \right\}$$

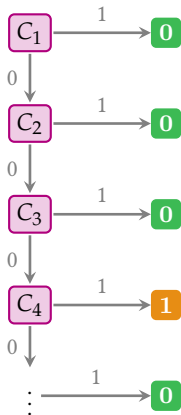
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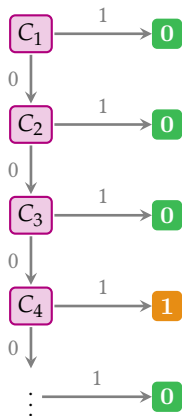
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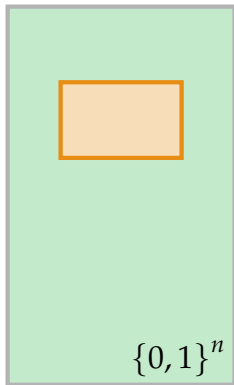
$$\text{width}(C_i) \leq k$$

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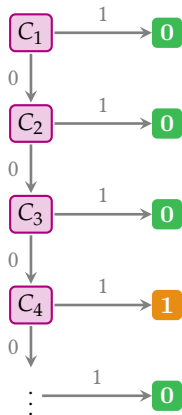


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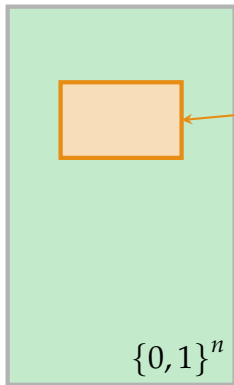


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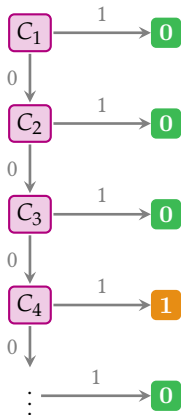
$\forall$  row : exactly one "1"

	1			
			1	
				1
		1		
1				

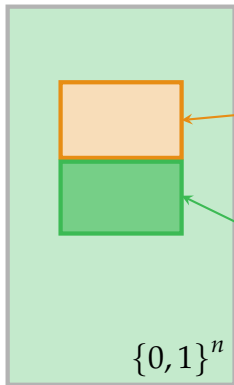


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1				

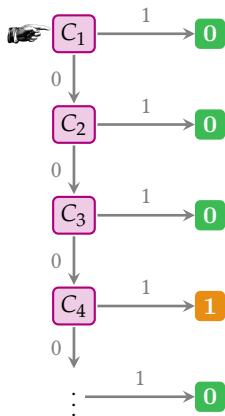
0	0	0	0	0
			1	
				1
		1		
1				

$\forall$  row : at most one "1"

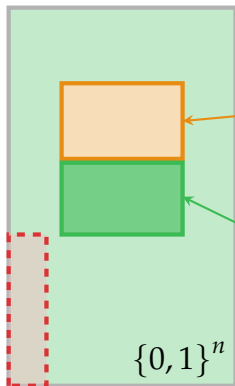
$\exists$  row : all "0"

$$DL^{dt}(f) \geq \sqrt{n}$$

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	1			
			1	
				1
		1		
1				

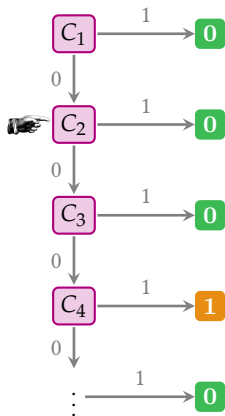
0	0	0	0	0
			1	
				1
		1		
1				

$\forall$  row : at most one "1"

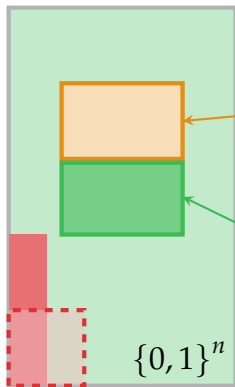
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			1	
				1
		1		
1				

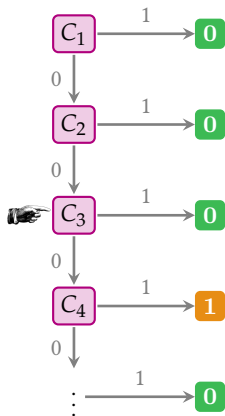
0	0	0	0	0
			1	
				1
		1		
1				

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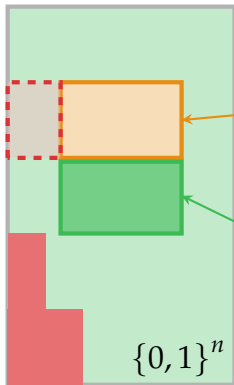
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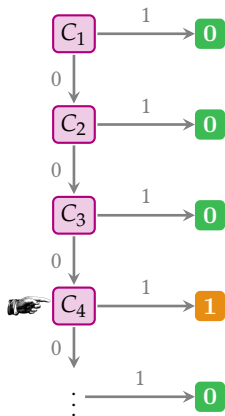
0	0	0	0	0
			1	
				1
		1		
1				

$\forall$  row : at most one "1"

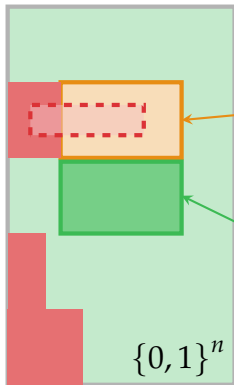
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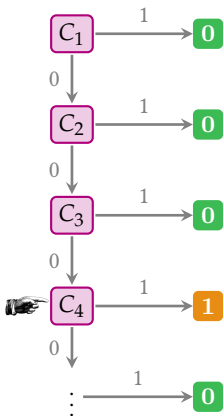
0	0	0	0	0
			1	
				1
		1		
1				

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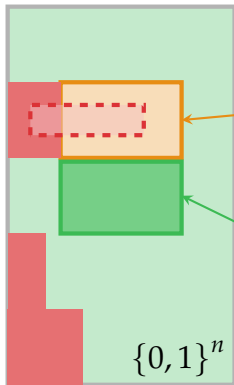
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$$\text{width}(C_i) \leq k$$

►  $C_i$  accepts some ■, but none in ■; or vice versa.



∀ row : exactly one "1"

	1			
			1	
				1
		1		
1				

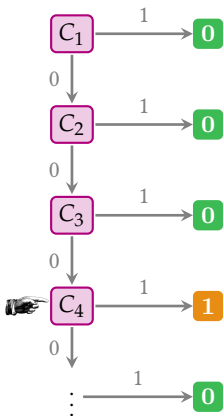
0	0	0	0	0
			1	
				1
		1		
1				

∀ row : at most one "1"

∃ row : all "0"

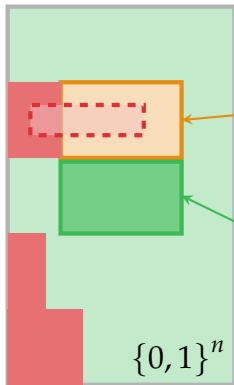
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- ▶  $C_i$  accepts some ■, but none in ■; or vice versa.
- ▶  $C_i$  has to read at least one variable per row.



$\forall$  row : exactly one "1"

	1			
			1	
				1
		1		
1				

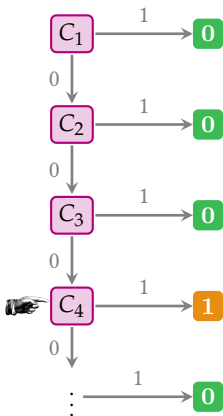
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		1		
1				

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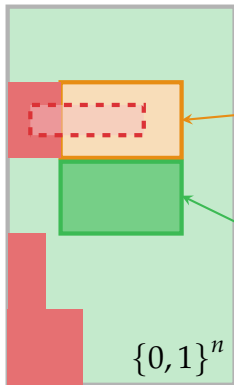
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$$\text{width}(C_i) \leq k$$

- ▶  $C_i$  accepts some ■, but none in ■; or vice versa.
- ▶  $C_i$  has to read at least one variable per row.
- ▶ That is,  $\text{width}(C_i) \geq \sqrt{n}$ . □



$\forall$  row : exactly one "1"

	1			
			1	
				1
		1		
1				

0	0	0	0	0
			1	
				1
		1		
1				

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$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

$$\exists F = f \circ \text{Ind}_m^n$$

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$\Updownarrow$  [PSS'14]

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$\Updownarrow$  [Lifting Theorem]

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3

$$\text{P}^{\text{NP}^{\text{cc}}}(F) \geq n^{\Omega(1)}$$

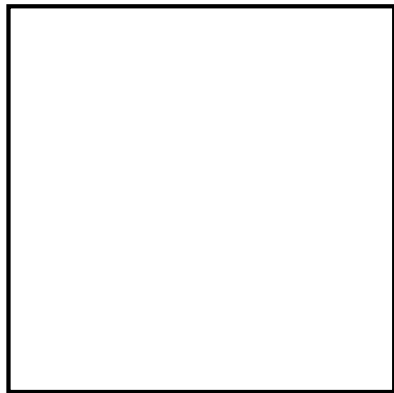
$\Updownarrow$  [PSS'14]

$$\text{DL}^{\text{cc}}(F) \geq n^{\Omega(1)}$$

$\Updownarrow$  [Lifting Theorem]

$$\text{DL}^{\text{dt}}(f) \geq n^{\Omega(1)}$$

$$\text{mon}(F) \leq \text{DL}^{\text{cc}}(F) \text{ [IW10, PSS14]}$$



$$\text{mon}(F) \stackrel{\text{def}}{=} \log \frac{1}{\min_{\text{product } \mu} \max_{\text{m.c. } R} \mu(R)}$$

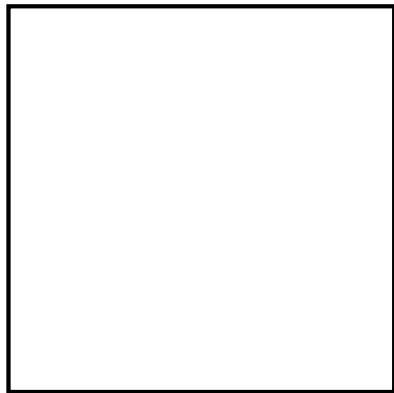
Given,

1.  $\text{DL}^{\text{cc}}(F) = k$
2. Product measure  $\mu$

Find rectangle  $R$ , such that,

$$\mu(R) > 2^{-O(k)}$$

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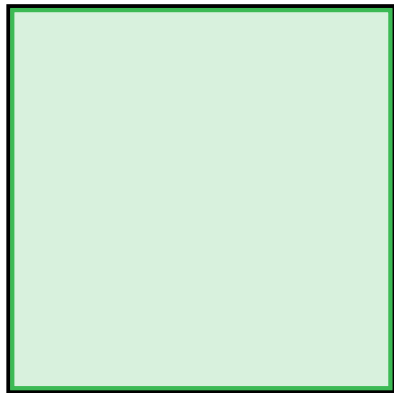
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$R_1 \quad R_2 \quad R_3 \quad \cdots \quad R_{2^k} \quad \top$

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Given,

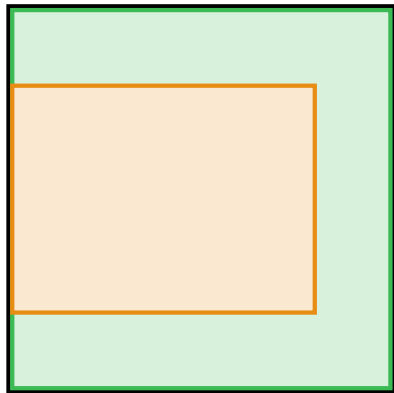
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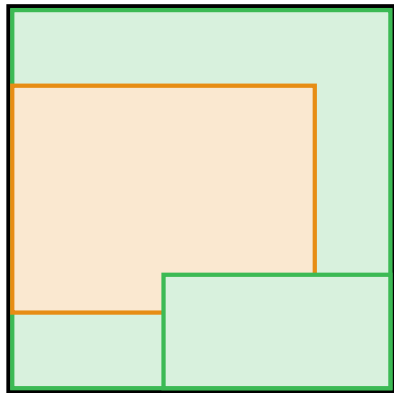
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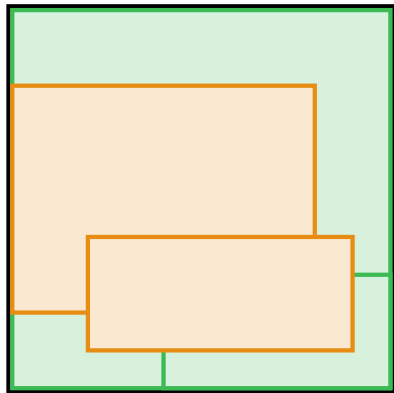
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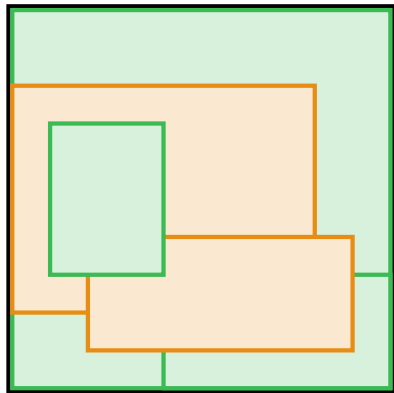
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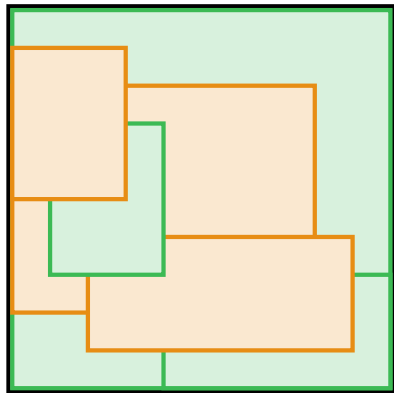
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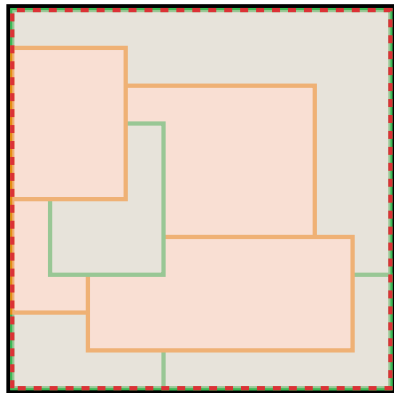
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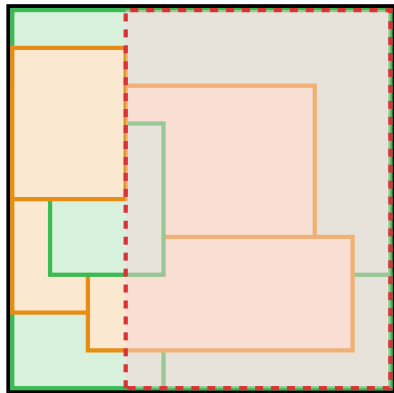
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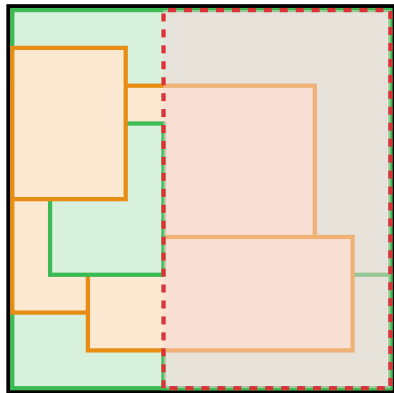
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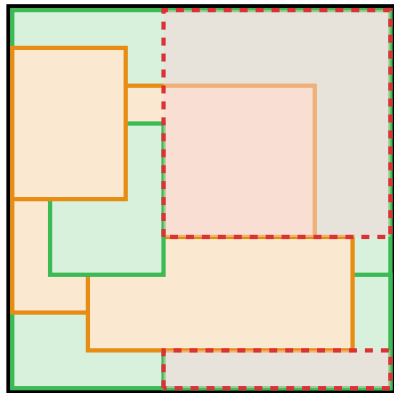
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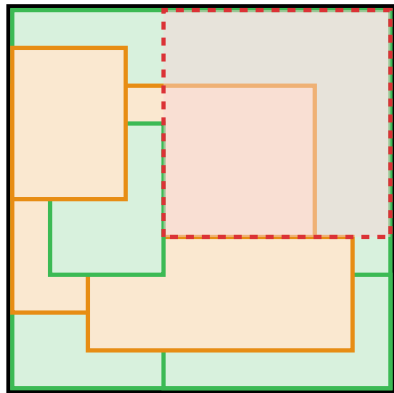
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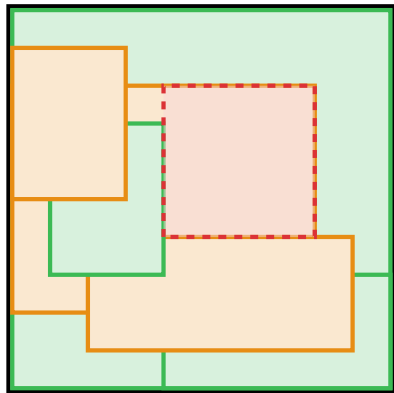
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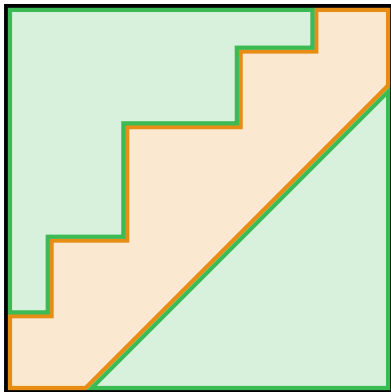
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$$\text{mon}(F) \leq (\log n)^{O(1)}$$

$$F = f \circ g^n$$

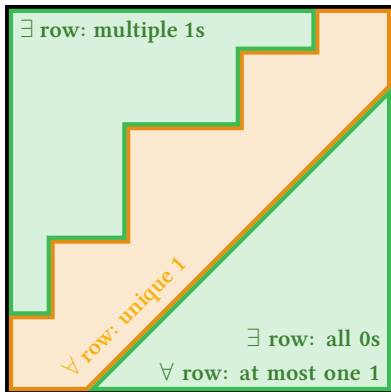


$$f(z) \stackrel{\text{def}}{=} \mathbb{1} \left\{ \begin{array}{l} \text{Every row has} \\ \text{a unique "1"} \end{array} \right\}$$

$$F \in \forall \cdot \text{US}^{\text{cc}}$$

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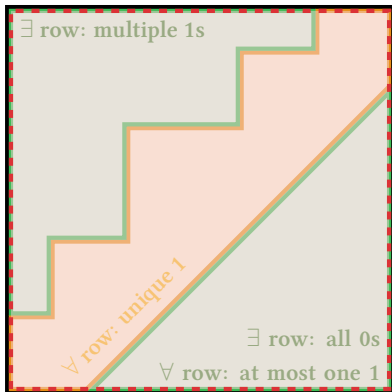


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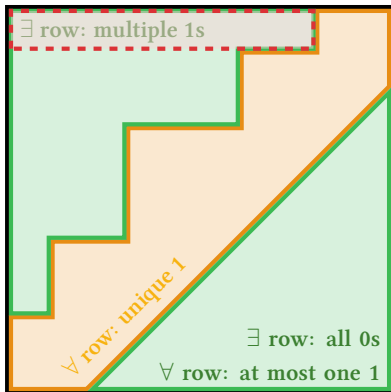
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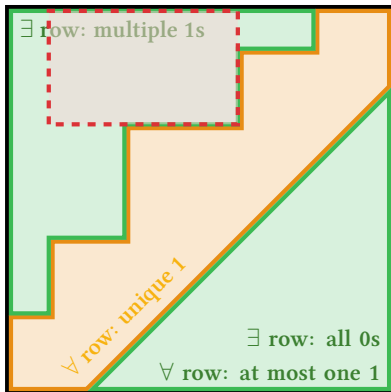
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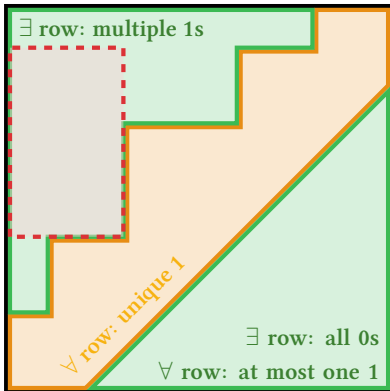
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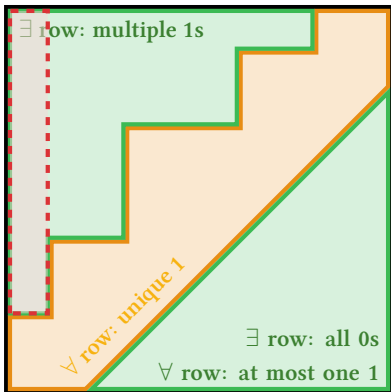


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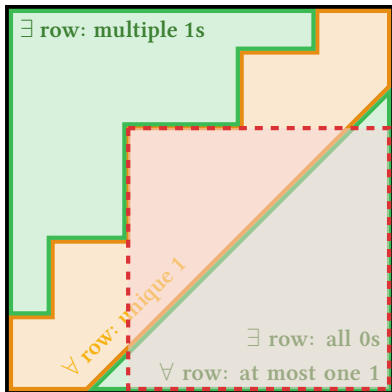
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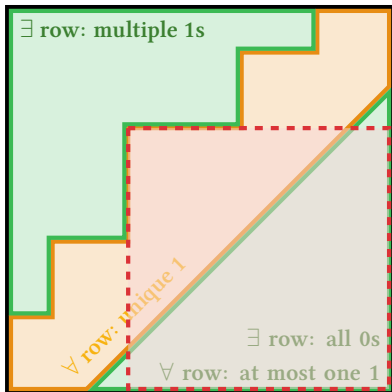
[IW10, PSS14]

$$F|_{\mu} \in \forall \cdot \text{UP}^{\text{cc}}$$



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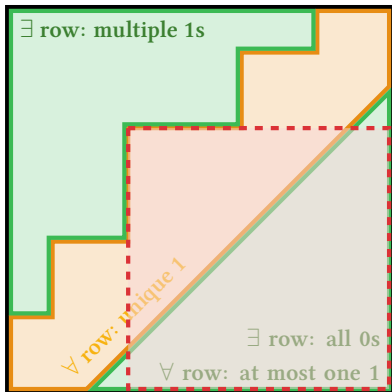
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[Yan89]

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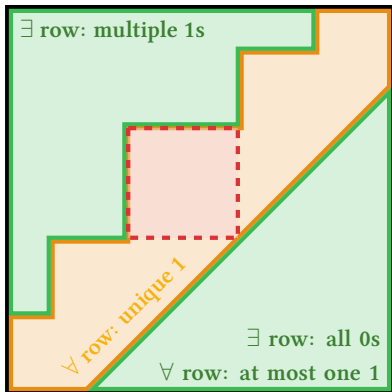
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[Yan89]

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[IW10, PSS14]

done!

## Summary & Open Questions

$$\exists F = f \circ \text{Ind}_m^n$$

$$\text{mon}(F) \leq (\log n)^{O(1)}$$

3

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$\Updownarrow$  [PSS'14]

$$\text{DL}^{\text{cc}}(F) \geq n^{\Omega(1)}$$

$\Updownarrow$  [Lifting Theorem]

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## Summary & Open Questions

### MAIN APPLICATION

$$\exists F : \text{mon}(F) \leq (\log n)^{O(1)}$$

$$\text{P}^{\text{NP}^{\text{cc}}}(F) \geq n^{\Omega(1)}$$

i.e. refutes:

$$\forall F : \text{P}^{\text{NP}^{\text{cc}}}(F) \leq \text{mon}(F)^{O(1)}$$

# Summary & Open Questions

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i.e. refutes:

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## MAIN TECHNIQUE: LIFTING THEOREM FOR DL & P<sup>NP</sup>

$$\text{DL}^{\text{cc}}(f \circ g^n) \geq \text{DL}^{\text{dt}}(f) \cdot \Omega(\log n)$$

$$\text{P}^{\text{NP}^{\text{cc}}}(f \circ g^n) \geq \sqrt{\text{P}^{\text{NP}^{\text{dt}}}(f) \cdot \Omega(\log n)}$$

- ▶ Dual characterization of DL<sup>dt</sup>
- ▶ [PSS14]-type method: Remove some rows AND some columns.
- ▶ A pseudo-randomness lemma to finish it all.

# Summary & Open Questions

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- ▶ [PSS14]-type method: Remove some rows AND some columns.
- ▶ A pseudo-randomness lemma to finish it all.

NEW!

$$\text{BPP}^{\text{cc}}(f \circ g^n) \geq \text{BPP}^{\text{dt}}(f) \cdot \Omega(\log n) \quad [\text{GPW17}]$$



# Summary & Open Questions

## OPEN QUESTIONS

- ▶  $\exists F : \text{mon}(F) \lll \text{UPP}^{\text{cc}}(F)$ ?
- ▶ Lifting with **constant-sized** gadgets?

## MAIN APPLICATION

$$\begin{aligned}\exists F : \text{mon}(F) &\leq (\log n)^{O(1)} \\ \text{P}^{\text{NPcc}}(F) &\geq n^{\Omega(1)}\end{aligned}$$

i.e. refutes:

$$\forall F : \text{P}^{\text{NPcc}}(F) \leq \text{mon}(F)^{O(1)}$$

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