

# Small Normalized Boolean Circuits for Semi-disjoint Bilinear Forms Require Logarithmic Conjunction-depth

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## Semi-disjoint Bilinear Form

A set  $F$  of quadratic polynomials over a semi-ring, defined on the set of variables  $X \cup Y$  is a semi-disjoint bilinear form if the following properties hold.

1. For each polynomial  $P$  in  $F$  and each variable  $z \in X \cup Y$ , there is at most one monomial (in the Boolean case, called a prime implicant) of  $P$  containing  $z$ .
2. Each monomial of a polynomial in  $F$  consists of exactly one variable in  $X$  and one variable in  $Y$ .
3. The sets of monomials of polynomials in  $F$  are pairwise disjoint.

## Boolean Vector Convolution

The  $n$ -dimensional Boolean vector convolution is an important example of semi-disjoint bilinear forms, where  $|X| = |Y| = n$  and  $|F| = 2n - 1$ . It is related to integer multiplication and string matching.

For two  $n$ -dimensional Boolean vectors  $a = (a_0, \dots, a_{n-1})$  and  $b = (b_0, \dots, b_{n-1})$  over the Boolean semi-ring  $(\{0, 1\}, \vee, \wedge)$ , their convolution over the semi-ring is a Boolean vector  $c = (c_0, \dots, c_{2n-2})$ , where  $c_i = \bigvee_{l=\max\{i-n+1, 0\}}^{\min\{i, n-1\}} a_l \wedge b_{i-l}$  for  $i = 0, \dots, 2n - 2$ .

## Boolean Matrix Product

The  $n \times n$  matrix product is another very important example of semi-disjoint bilinear forms, where  $|X| = |Y| = |F| = n^2$ .

For a  $n \times n$  Boolean matrix  $A$  and a  $n \times n$  Boolean matrix  $B$  over the semi-ring  $(\{0, 1\}, \vee, \wedge)$ , their matrix product over the semi-ring is a  $n \times n$  Boolean matrix  $C$  such that  $C[i, j] = \bigvee_{m=1}^n A[i, m] \wedge B[m, j]$  for  $1 \leq i \leq n$  and  $1 \leq j \leq n$ .

## Boolean Circuits

A (*Boolean*) *circuit* is a finite directed acyclic graph with the following properties:

1. The indegree of each vertex (termed gate) is either 0, 1 or 2.
2. The source vertices (i.e., vertices with indegree 0 called input gates) are labeled by elements in some set of literals, i.e., variables and their negations, and the Boolean constants 0, 1.
3. The vertices of indegree 2 are labeled by elements of the set  $\{and, or\}$  and termed and-gates and or-gates, respectively.
4. The vertices of indegree 1 are labeled by *negation* and termed negation-gates.

## Normalized and monotone (Boolean) Circuits

A Boolean circuit is *normalized* if it does not use negation-gates. A Boolean circuit is *monotone* if it is normalized and it does not use negated variables.

The *size* of a Boolean circuit is the total number of not input gates. The *depth* of the circuit is the maximum length of a directed path in the circuit. A normalized circuit is of *and-depth*  $d$  if the number of and-gates on any directed path in the circuit does not exceed  $d$ .

A form composed of  $k$  functions is computed by a Boolean circuit if the circuit contains  $k$  distinguished gates computing the  $k$  functions.

## Known bounds for convolution and matrix product

- Any monotone circuit for  $n$ -dimensional Boolean convolution uses  $\Omega(n^2 / \log^6 n)$  disjunctions (Grinchuk and Sergeev 2011) and  $n^{4/3}$  conjunctions (Blum 1980). On the other hand, one can construct a normalized circuit for the convolution of size  $\tilde{O}(n)$  by a reduction to fast integer multiplication (Fisher and Paterson 1974).
- Any monotone circuit for  $n \times n$  Boolean matrix product uses  $n^2(n - 1)$  disjunctions (Paterson 1975, Mehlhorn-Galil 1976) and  $n^3$  conjunctions (Paterson 1975, Pratt 1975, Mehlhorn-Galil 1976). On the other hand, one can construct a normalized circuit for the matrix product of size  $\tilde{O}(n^\omega)$ , where  $\omega$  stands for the exponent of fast matrix multiplication known to not exceed 2.373 (Vassilevska Williams 2012, Le Gall 2014).

**A set  $T(g)$  of terms associated to a circuit gate  $g$**

If  $g$  is labelled by a variable or a negated variable or a constant  $z$  then  $T(g) \leftarrow \{z\}$ .

If  $g$  is an OR gate then  $T(g) \leftarrow T(g_1) \cup T(g_2)$ , where  $g_1$  and  $g_2$  are direct predecessors of  $g$ .

If  $g$  is an AND gate then  $T(g) \leftarrow \{t_1 t_2 \mid t_1 \in T(g_1) \ \& \ t_2 \in T(g_2)\}$ , where  $g_1$  and  $g_2$  are direct predecessors of  $g$ .



## Implicants and prime implicants

An *implicant* of a set  $F$  of Boolean functions is a conjunction of some variables and/or some negated variables of  $F$  and/or Boolean constants (monom) such that there is a function belonging to  $F$  which is true whenever the conjunction is true. If the conjunction includes the Boolean 0 or a variable  $x$  and its negation  $\bar{x}$  then it is a *trivial implicant* of (any)  $F$ .

A non-trivial implicant of  $F$  that is minimal with respect to included literals is a *prime implicant* of  $F$ .

$$F = \{x_0y_0, x_0y_1 \vee x_1y_0, x_0y_2 \vee x_1y_1 \vee x_2y_0, x_1y_2 \vee x_2y_1, x_2y_2\}$$

The set of prime implicants of  $F$  consists of all monoms  $xy$ , where  $x \in \{x_0, x_1, x_2\}$  and  $y \in \{y_0, y_1, y_2\}$

For example,  $x_1\bar{x}_2y_0$ ,  $x_0y_1y_2$  are (not prime) implicants of  $F$

## Single term representation of implicants

The monom represented by a term  $t$  is obtained by replacing concatenations in  $t$  with conjunctions, respectively.

We shall say that an implicant (in particular, a prime implicant) of a function  $f_g$  computed at the gate  $g$  is *represented by a single term* in  $T(g)$  if there is a term  $t \in T(g)$  such that the monom represented by  $t$  is equivalent to the implicant.

In monotone circuits, each prime implicant of a function computed at a gate  $h$  has to be represented by a single term in  $T(h)$ . This is not the case in normalized circuits generally E.g.,  $xy$  could be represented by  $\{xyz, xy\bar{z}\}$ .

## The first key lemma

**Lemma 1** *Let  $C$  be a normalized Boolean circuit computing a form  $F$ . For each prime implicant of the function  $f_o \in F$  computed at the output gate  $o$  of  $C$ , there is a term in  $T(o)$  representing the (whole) prime implicant or a conjunction of the prime implicant with solely negated variables.*

**Proof:** idea. Consider a prime implicant of  $f_o$ . Assign the Boolean 1 to the variables in the prime implicant and the Boolean 0 to all remaining variables in  $F$ . □

## A corollary from the first key lemma

**Corollary 2** *Let  $C$  be a normalized Boolean circuit computing a form  $F$  with  $p$  prime implicants. Suppose that each prime implicant of  $F$  is composed of  $q$  (not negated) variables and each output term of  $C$  contains at most  $k$  distinct literals. Let  $0 < \beta < 1$ . There is a subset of the set of variables of  $F$  such that after setting them to the Boolean 0 there are at least  $p\beta^q(1 - \beta)^{k-q}$  prime implicants of  $F$  represented by single output terms of the circuit  $C'$  resulting from  $C$ . Note that the circuit  $C'$  computes a form  $F'$  whose set of prime implicants is a subset of that of  $F$ .*

## The second key lemma

**Lemma 3** *Let  $C$  be a normalized Boolean circuit computing a semi-disjoint bilinear form  $F$  on the variables  $x_0, \dots, x_{n-1}$  and  $y_0, \dots, y_{n-1}$ . Suppose that for each output gate  $o$  in  $C$ , each term in  $T(o)$  contains at most  $k$  different literals.*

*Let  $h$  be a gate connected by directed paths with some output gates in  $C$  such that the function computed at  $h$  has prime implicants  $z_{q_1}, \dots, z_{q_{l(h)}}$  which are single (not negated) variables represented by single terms in  $T(h)$ , and possibly some other prime implicants.*

*The inequality  $l(h) \leq k$  holds or  $h$  can be replaced by the Boolean constant 1.*

## The second key lemma - proof

**Case 1:** For each output gate  $o$  reachable by a directed path from the gate  $h$ , for each  $z \in \{z_{q_1}, \dots, z_{q_{l(h)}}\}$ , and each term  $t_1 z t_2 \in T(o)$ , the term  $t_1 t_2$  represents an implicant of the function computed at 0. Then,  $h$  can be replaced by the constant 1 gate.

**Case 2:** For an output gate  $o$  reachable by a directed path from the gate  $h$ , for a  $z \in \{z_{q_1}, \dots, z_{q_{l(h)}}\}$ , and a term  $t_1 z t_2 \in T(o)$ , the term  $t_1 t_2$  does not represent an implicant of the function computed at 0. Then the term  $t_1 t_2$  has to contain for each  $z \in \{z_{q_1}, \dots, z_{q_{l(h)}}\}$  the variable  $z'$  completing  $z$  to a prime implicant  $z z'$  of the function or  $\bar{z}$  so the term  $t_1 z t_2$  becomes a trivial implicant, totally  $l(h)$  different variables.

## Bounded conjunction-depth yields bounded terms

**Lemma 4** *Let  $C$  be a normalized Boolean circuit of  $d$ -bounded conjunction-depth computing a form  $F$ . Each term, in particular, each output term of  $C$  includes at most  $2^d$  literals.*

**Proof:** An and-gate can at most double the number of literals in single terms while an or-gate does not increase it. Hence, by induction on the maximum number  $d$  of and-gates on a path from an input gate to a gate  $g$  in  $C$ , any term in  $T(g)$  includes at most  $2^d$  literals. □

## Lower bound trade-offs

**Theorem 1** *Let  $C$  be a normalized Boolean circuit of conjunction-depth at most  $d$  computing a semi-disjoint bilinear form  $F$  with  $p$  prime implicants. The circuit  $C$  has at least  $\frac{p}{2^{4d}}(1 - \frac{1}{2^d})^{2^d-2}$  and-gates.*

### Proof sketch

1. Apply Lemma 2 with  $\beta = \frac{1}{2^d}$  and  $q = 2$  to the circuit  $C$ , where  $k \leq 2^d$  by Corollary 4. The resulting circuit  $C'$  computes a form  $F'$  with at least  $\frac{p}{2^{2d}}(1 - \frac{1}{2^d})^{2^d-2}$  prime implicants inherited from  $F$  and represented by single output terms of  $C'$ .
2. Prune  $C'$  by iteratively eliminating all and-gates that can be replaced by the constant 1 without affecting the form computed by the circuit.



3. For each prime implicant  $xy$  of  $F'$  represented by a simple term there exists a gate  $g$  of  $S$  such that  $xy$  is an implicant of the function computed at  $g$  represented by single terms in  $T(g)$  and none of the direct predecessor of  $g$  in  $C'$  has the aforementioned property. By Lemma 3, each direct predecessor of  $g$  cannot have more single variable implicants represented by simple terms than the upper bound  $2^d$  on the number of literals in a term. Hence,  $g$  can be assigned this way to at most  $2^{2d}$  prime implicants of  $F'$  represented by simple terms.

## Corollaries for vector convolution and matrix product

**Corollary 5** *Any normalized circuit of  $\epsilon \log n$ -bounded and-depth that computes the  $n$ -dimensional Boolean vector convolution has  $\Omega(n^{2-4\epsilon})$  and-gates.*

**Corollary 6** *Any normalized circuit of  $\epsilon \log n$ -bounded and-depth that computes the  $n \times n$  Boolean matrix product has  $\Omega(n^{3-4\epsilon})$  and-gates.*

## An upper bound trade-off for vector convolution

**Proposition 1** *There is a positive constant  $c \leq 1$  such that for any  $\epsilon \in (0, \frac{1}{c})$ , the  $n$ -dimensional Boolean vector convolution can be computed by a normalized Boolean circuit of  $\epsilon \log n$ -bounded conjunction-depth and  $O(n^{2-c\epsilon} n \log^2 n \log \log n)$  size.*

**Proof:** By the known fast algorithms for Boolean convolution, for some positive constant  $c \leq 1$ , an  $n^{c\epsilon}$ -dimensional Boolean vector convolution can be computed by a normalized Boolean circuit of  $\epsilon \log n$ -bounded depth and  $O(n^{c\epsilon} \log^2 n \log \log n)$  size. On the other hand, since  $c\epsilon < 1$ , the  $n$ -dimensional Boolean vector convolution can be easily reduced to  $n^{2-2c\epsilon} n^{c\epsilon}$ -dimensional Boolean vector convolutions using just disjunctions. □

## An upper bound trade-off for matrix product

**Proposition 2** *There is a positive constant  $c \leq 1$  such that for any  $\epsilon \in (0, \frac{1}{c})$ , the  $n \times n$  Boolean matrix product can be computed by a normalized Boolean circuit of  $\epsilon \log n$ -bounded conjunction-depth and  $O(n^{3-(3-\omega)c\epsilon})$  size.*

**Proof:** By the fast algorithms for matrix multiplication, there is a positive constant  $c \leq 1$  such that an  $n^{c\epsilon} \times n^{c\epsilon}$  Boolean matrix product can be computed by a normalized Boolean circuit of  $\epsilon \log n$ -bounded depth and  $O(n^{\omega c\epsilon})$  size. On the other hand, since  $c\epsilon < 1$ , the  $n \times n$  Boolean matrix product can be easily reduced to  $n^{3-3c\epsilon} n^{c\epsilon} \times n^{c\epsilon}$  Boolean matrix products using just disjunctions.  $\square$

## A stronger lower-bound trade-off for matrix product

**Lemma 7** *Let the normalized Boolean circuits  $C$ ,  $C'$  and the forms  $F$ ,  $F'$  computed by them be defined as in Lemma 2. Let  $F''$  be a form having the following properties: for each  $f'' \in F''$  different from a constant there is a distinct  $f \in F$  such that the prime implicants of  $f''$  are implicants of  $f$  and all prime implicants of  $f$  represented by single output terms in  $C'$  are also prime implicants of  $f''$ . Suppose that any monotone Boolean circuit computing such form  $F''$  has at least  $u$  and-gates and at least  $w$  or-gates. Then the circuits  $C$ ,  $C'$  have also at least  $u$  and-gates and at least  $w$  or-gates.*

**Proof:** sketch.

Substitute for each negated variable the Boolean 0. □

## A stronger lower-bound trade-off for matrix product

**Lemma 8** *Suppose that for each function  $h$  in a form  $H$  there is a distinct function  $f$  in the  $n \times n$  Boolean matrix product such that each prime implicant of  $h$  is an implicant of  $f$ . Let  $p$  be the total number of prime implicants of  $H$  that are also prime implicants of the  $n \times n$  Boolean matrix product. Any monotone Boolean circuit computing  $H$  has at least  $p$  and-gates.*

**Theorem 2** *Let  $C$  be a normalized Boolean circuit computing the  $n \times n$  Boolean matrix product. Suppose that each output term of  $C$  contains at most  $k$  distinct negated variables. The circuit has at least  $\frac{n^3}{k^2} \left(1 - \frac{1}{k}\right)^k$  and-gates. In particular, if  $C$  is of negation-dependent conjunction-depth  $d$  then it has at least  $\frac{n^3}{2^{2d}} \left(1 - \frac{1}{2^d}\right)^{2^d}$  and-gates. Finally, if  $d = \epsilon \log n$  then  $C$  has  $\Omega(n^{3-2\epsilon})$  and-gates.*