

Invariance principle on the slice

Y. Filmus, E. Mossel, G. Kindler, K. Wimmer

30 May 2016

1 The result

2 Fourier analysis on the slice

3 The proof

4 Summary

Constant-weight vectors fool low-degree polynomials*

Suppose P is a suitable low-degree polynomial.

If $(X_1, \dots, X_n) \sim \{0, 1\}^n$ and $(Y_1, \dots, Y_n) \sim \binom{[n]}{n/2}$ then

$P(X_1, \dots, X_n) \approx P(Y_1, \dots, Y_n)$.

Suppose P is a suitable low-degree polynomial.

If $(X_1, \dots, X_n) \sim \{0, 1\}^n$ and $(Y_1, \dots, Y_n) \sim \binom{[n]}{n/2}$ then

$P(X_1, \dots, X_n) \approx P(Y_1, \dots, Y_n)$.

Goal

$$P(\vec{X}) \approx P(\vec{Y}) \text{ where } \vec{X} \sim \{0, 1\}^n, \vec{Y} \sim \binom{[n]}{n/2}$$

Unsuitable polynomials

Goal

$$P(\vec{X}) \approx P(\vec{Y}) \text{ where } \vec{X} \sim \{0, 1\}^n, \vec{Y} \sim \binom{[n]}{n/2}$$

Obstructions

$$x_1 + \cdots + x_n - n/2$$

Unsuitable polynomials

Goal

$$P(\vec{X}) \approx P(\vec{Y}) \text{ where } \vec{X} \sim \{0, 1\}^n, \vec{Y} \sim \binom{[n]}{n/2}$$

Obstructions

$$x_1 + \cdots + x_n - n/2$$

$$(x_1 + \cdots + x_n - n/2)x_1$$

Unsuitable polynomials

Goal

$$P(\vec{X}) \approx P(\vec{Y}) \text{ where } \vec{X} \sim \{0, 1\}^n, \vec{Y} \sim \binom{[n]}{n/2}$$

Obstructions

$$x_1 + \cdots + x_n - n/2$$

$$(x_1 + \cdots + x_n - n/2)x_1$$

$$x_1(1 - n/2) + (x_2 + \cdots + x_n)x_1$$

Definition

A polynomial P is *harmonic* if

$$\sum_{i=1}^n \frac{\partial P}{\partial x_i} = 0.$$

Definition

A polynomial P is *harmonic* if

$$\sum_{i=1}^n \frac{\partial P}{\partial x_i} = 0.$$

Examples

$$x_1 - x_2$$

Definition

A polynomial P is *harmonic* if

$$\sum_{i=1}^n \frac{\partial P}{\partial x_i} = 0.$$

Examples

$$\begin{aligned} & x_1 - x_2 \\ & (x_1 - x_2)(x_3 - x_4) \end{aligned}$$

Definition

A polynomial P is *harmonic* if

$$\sum_{i=1}^n \frac{\partial P}{\partial x_i} = 0.$$

Examples

$$\begin{aligned} & x_1 - x_2 \\ & (x_1 - x_2)(x_3 - x_4) \end{aligned}$$

Theorem (Dunkl)

Every function on $\binom{[n]}{n/2}$ has unique representation as harmonic multilinear polynomial of degree $\leq n/2$.

For harmonic multilinear polynomial P and test function φ s.t.

- $\deg P = o(\sqrt{n})$
- $\|P\| = 1$
- φ is Lipschitz

holds:

$$\left| \mathbb{E}_{\vec{X} \sim \{0,1\}^n} [\varphi(P(\vec{X}))] - \mathbb{E}_{\vec{Y} \sim \binom{[n]}{n/2}} [\varphi(P(\vec{Y}))] \right| = o(1).$$

For harmonic multilinear polynomial P and test function φ s.t.

- $\deg P = o(\sqrt{n})$
- $\|P\| = 1$
- φ is Lipschitz

holds:

$$\left| \mathbb{E}_{\vec{X} \sim \{0,1\}^n} [\varphi(P(\vec{X}))] - \mathbb{E}_{\vec{Y} \sim \binom{[n]}{n/2}} [\varphi(P(\vec{Y}))] \right| = o(1).$$

Works also for other slices.

Results for $\{0, 1\}^n$ proved using classical invariance principle generalize (almost) immediately to the slice.

Results for $\{0, 1\}^n$ proved using classical invariance principle generalize (almost) immediately to the slice.

Some examples

- Majority is Stablest for the slice.

Results for $\{0, 1\}^n$ proved using classical invariance principle generalize (almost) immediately to the slice.

Some examples

- Majority is Stablest for the slice.
- Bourgain's tail bound for the slice.

Results for $\{0, 1\}^n$ proved using classical invariance principle generalize (almost) immediately to the slice.

Some examples

- Majority is Stablest for the slice.
- Bourgain's tail bound for the slice.
- Kindler–Safra theorem for the slice.

- 1 The result
- 2 Fourier analysis on the slice**
- 3 The proof
- 4 Summary

Theorem (Dunkl)

Every function P on $\binom{[n]}{n/2}$ has unique representation as harmonic multilinear polynomial of degree $\leq n/2$.

Theorem (Dunkl)

Every function P on $\binom{[n]}{n/2}$ has unique representation as harmonic multilinear polynomial of degree $\leq n/2$.

Can decompose P into sum of homogeneous polynomials.

Theorem (Dunkl)

Every function P on $\binom{[n]}{n/2}$ has unique representation as harmonic multilinear polynomial of degree $\leq n/2$.

Can decompose P into sum of homogeneous polynomials.

Theorem (Dunkl)

Homogeneous parts are orthogonal on all slices, and so on $\{0, 1\}^n$.

Theorem (F)

If a harmonic multilinear polynomial P has low degree then L^2 norm of P on $\binom{[n]}{n/2} \approx L^2$ norm of P on $\{0,1\}^n$.

Theorem (F)

If a harmonic multilinear polynomial P has low degree then L^2 norm of P on $\binom{[n]}{n/2} \approx L^2$ norm of P on $\{0,1\}^n$.

Prerequisite for full invariance principle.

Srinivasan and **F** (independently) constructed an explicit orthogonal basis for the slice.

Fourier basis for the slice

Srinivasan and **F** (independently) constructed an explicit orthogonal basis for the slice.

F used basis to reprove Wimmer's junta theorem for the slice.

Fourier basis for the slice

Srinivasan and **F** (independently) constructed an explicit orthogonal basis for the slice.

F used basis to reprove Wimmer's junta theorem for the slice.

Basis isn't needed to prove the invariance principle.

- 1 The result
- 2 Fourier analysis on the slice
- 3 The proof**
- 4 Summary

Classical invariance principle (MOO)

Suitable low-degree polynomials behave similarly on input $\{-1, 1\}^n$ and on input $N(0, 1)^n$.

Classical invariance principle (MOO)

Suitable low-degree polynomials behave similarly on input $\{-1, 1\}^n$ and on input $N(0, 1)^n$.

The proof

Replace one by one the $\{-1, 1\}$ variables with $N(0, 1)$ variables and bound the error.

Classical invariance principle (MOO)

Suitable low-degree polynomials behave similarly on input $\{-1, 1\}^n$ and on input $N(0, 1)^n$.

The proof

Replace one by one the $\{-1, 1\}$ variables with $N(0, 1)$ variables and bound the error.

Our case

Strategy fails since coordinates of $\binom{[n]}{n/2}$ are not independent!

Let P be a suitable low-degree polynomial on $\binom{[n]}{n/2}$.

- Dist. of P doesn't change too much if we flip a coordinate.

Let P be a suitable low-degree polynomial on $\binom{[n]}{n/2}$.

- Dist. of P doesn't change too much if we flip a coordinate.
- Hence dist. of P on $\binom{[n]}{n/2}$ is similar to its dist. on $\binom{[n]}{n/2 \pm t}$.

Let P be a suitable low-degree polynomial on $\binom{[n]}{n/2}$.

- Dist. of P doesn't change too much if we flip a coordinate.
- Hence dist. of P on $\binom{[n]}{n/2}$ is similar to its dist. on $\binom{[n]}{n/2 \pm t}$.
- and so similar to its distribution on $\binom{[n]}{n/2-t \leq \cdot \leq n/2+t}$.

Let P be a suitable low-degree polynomial on $\binom{[n]}{n/2}$.

- Dist. of P doesn't change too much if we flip a coordinate.
- Hence dist. of P on $\binom{[n]}{n/2}$ is similar to its dist. on $\binom{[n]}{n/2 \pm t}$.
- and so similar to its distribution on $\binom{[n]}{n/2 - t \leq \cdot \leq n/2 + t}$.
- Hence dist. of P on $\binom{[n]}{n/2}$ is similar to its dist. on $\{0, 1\}^n$.

- 1 The result
- 2 Fourier analysis on the slice
- 3 The proof
- 4 Summary

Constant-weight vectors fool
low-degree harmonic multilinear polys

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler–Safra theorem: sub-optimal version known (this work)

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler-Safra theorem: sub-optimal version known (this work)
- Linearity testing: some versions known (DDGKS, Tal)

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler-Safra theorem: sub-optimal version known (this work)
- Linearity testing: some versions known (DDGKS, Tal)

Other domains

- Association schemes (e.g. Grassmann)

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler-Safra theorem: sub-optimal version known (this work)
- Linearity testing: some versions known (DDGKS, Tal)

Other domains

- Association schemes (e.g. Grassmann)
- Non-abelian groups (e.g. S_n)

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler-Safra theorem: sub-optimal version known (this work)
- Linearity testing: some versions known (DDGKS, Tal)

Other domains

- Association schemes (e.g. Grassmann)
- Non-abelian groups (e.g. S_n)
- Multislice

Fourier analysis on the slice

- Known: KKL (O'D-W), junta theorem (W), FKN (**F**).
- Kindler-Safra theorem: sub-optimal version known (this work)
- Linearity testing: some versions known (DDGKS, Tal)

Other domains

- Association schemes (e.g. Grassmann)
- Non-abelian groups (e.g. S_n)
- Multislice

Applications

- Suggestions welcome!

Thanks!

Fourier basis for the slice

Setup

A slice $\binom{[n]}{k}$.

Fourier basis for the slice

Setup

A slice $\binom{[n]}{k}$.

Basis elements

For every $\ell \leq \min(k, n - k)$ and $b_1 < \dots < b_\ell \leq n$:

$$\chi_{b_1, \dots, b_\ell} = \sum'_{a_1, \dots, a_\ell} (x_{a_1} - x_{b_1}) \cdots (x_{a_\ell} - x_{b_\ell}),$$

where:

- $a_1, b_1, \dots, a_\ell, b_\ell$ all distinct.
- $a_1 < b_1, \dots, a_\ell < b_\ell$.

Only consider b_1, \dots, b_ℓ for which sum is non-zero.

Basis elements

For every $\ell \leq \min(k, n - k)$ and $b_1 < \dots < b_\ell \leq n$:

$$\chi_{b_1, \dots, b_\ell} = \sum'_{a_1, \dots, a_\ell} (x_{a_1} - x_{b_1}) \cdots (x_{a_\ell} - x_{b_\ell}),$$

where:

- $a_1, b_1, \dots, a_\ell, b_\ell$ all distinct.
- $a_1 < b_1, \dots, a_\ell < b_\ell$.

Properties

- $\binom{n}{\ell} - \binom{n}{\ell-1}$ basis elements at level ℓ .
- Basis is orthogonal.
- Explicit formula for norm of $\chi_{b_1, \dots, b_\ell}$.